



## PRIVACY POLICY

### FACTS

**WHAT DOES THE AURORA GROUP OF COMPANIES “AURORA”: AURORA PRIVATE WEALTH, INC, COMPREHENSIVE CAPITAL MANAGEMENT, INC, APW CAPITAL, INC, AURORA INSURANCE SERVICES, INC DO WITH YOUR FINANCIAL INFORMATION?**

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
<b>What?</b>	The types of personal information we collect and share depends on the product or service you have with us. This information can include: <ul style="list-style-type: none"> <li>▪ Social Security number and income</li> <li>▪ Account balances and assets</li> <li>▪ Transaction history</li> </ul>	
<b>How?</b>	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons Aurora chooses to share; and whether you can limit this sharing.	
<b>Reasons we can share your personal information      Does Aurora share?      Can you limit this sharing?</b>		
<b>For our everyday business purposes –</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes –</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	Not Applicable
<b>For our affiliates’ everyday business purposes –</b> information about your transactions and experiences	Yes	No
<b>For our affiliates’ everyday business purposes –</b> information about your creditworthiness	No	Not Applicable
<b>For our affiliates to market to you</b>	No	Not Applicable
<b>For nonaffiliates to market to you</b>	No	Not Applicable
<b>To limit our sharing</b>	<p><b>Please note:</b> We do not share your information in any way that allow you to limit that sharing. We only share your information to provide the services you have engaged us to provide or other limited exemptions from providing an opt out (such as for compliance support).</p>	
<b>Questions?</b>	Call 973-394-0404 or go to <a href="http://aurorapw.com">aurorapw.com</a>	

Who we are	
Who is providing this notice?	Aurora
What we do	
How does Aurora protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and building.
How does Aurora collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>▪ Open an account</li> <li>▪ Deposit money</li> <li>▪ Seek advice about your investments</li> <li>▪ Enter into an investment advisory contract</li> <li>▪ Tell us about your investment or retirement portfolio or earnings</li> </ul> <p>We also collect your personal information from other companies.</p>
Definitions	
<b>Affiliates</b>	<p>Companies related by common ownership and control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>▪ Our affiliates include Aurora Private Wealth, Inc., Comprehensive Capital Management, Inc., APW Capital Inc., and Aurora Insurance Services, Inc.</li> </ul>
<b>Nonaffiliates</b>	Companies not related by common ownership and control. They can be financial or nonfinancial companies.
<b>Joint marketing</b>	A formal agreement between nonaffiliated financial companies that together market financial products or service to you.
Other important information	
There may be additional state or local laws that impact your privacy. Don't hesitate to request additional information from us about how we protect your information.	